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Document Title		
MUTAGENICITY EVALUATION OF 11664-99-4 IN THE AMES SALMONELLA/MICROSOME PLATE TEST (REPORT) WITH COVER LETTER DATED 011691		
Chemical Category		
4,4-DIPHENYLMETHANE DIISOCYANATE (101-68-8)		

GRACE

86-910000650

CONTAINS NO CBI

Joseph W. Raksis, Vice President
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January 16, 1991

91 JAN 24 AM 9:44

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Office of Toxic Substances
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Attn: Health and Safety Reporting Rule (Notification/Reporting)

Please find attached 8(d) health and safety reports for mixtures processed containing toluene diisocyanate (CAS #26471-62-5), 4,4-Diphenylmethane diisocyanate (CAS #101-68-8) and 1,6-Diisocyanatohexane (CAS #822-06-0). Grace is submitting these reports for late filing since their submittal may have been subject to the isocyanates 10-year call-in of June 1, 1987.

We have reason to believe that some of these reports may have previously been submitted to EPA as attachments to PMN submissions. However, Grace is filing them as a precautionary measure to insure EPA's receipt.

These reports are being submitted for:

W. R. Grace & Co.-Conn.
Washington Research Center
7379 Route 32
Columbia, MD 21044

Sincerely,

J. W. Raksis
J. W. Raksis

A:\JR91-013/lw

Attachments - 20



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BIONETICS ASSAY NO. 5918

LBI SAFETY NO. 7238

4,4-Diphenylmethane Diisocyanate
101-68-8

MUTAGENICITY EVALUATION OF

11664-99-4

IN THE
AMES SALMONELLA/MICROSOME
PLATE TEST

FINAL REPORT

SUBMITTED TO:

W.R. GRACE AND CO.
7379 ROUTE 32
COLUMBIA, MD 21044

SUBMITTED BY:

LITTON BIONETICS, INC.
5516 NICHOLSON LANE
KENSINGTON, MARYLAND 207953

LBI PROJECT NO. 20988

REPORT DATE: NOVEMBER, 1981

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PREFACE

This report contains a summary of the data compiled during the evaluation of the test compound. The report is organized to present the results in a concise and easily interpretable manner. The first part contains Items I-IX. Items I-IV provide sponsor and test article identification information, type of assay, and the protocol reference number. Item V provides the initiation and completion dates of the study. Item VI identifies the supervisory personnel. Item VII indicates the tables and/or figures containing the test results. The interpretation of the results is in Item VIII. Item IX provides the conclusion and evaluation.

The second part of the report describes the study design, which includes the materials and procedures employed in conducting the assay. This part of the report also contains evaluation criteria used by the study director, and any appendices.

All test and control results presented in this report are supported by raw data which are permanently maintained in the files of the Department of Molecular Toxicology or in the archives of Litton Bionetics, Inc., 5516 Nicholson Lane, Kensington, Maryland 20895.

Copies of the raw data will be supplied to the sponsor upon request.

The described study was performed in accordance with Good Laboratory Practice regulations except if noted to the contrary. To the best of the signer's knowledge there were no significant deviations from the Good Laboratory Practice regulations which affected the quality or integrity of the study.



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- I. SPONSOR: W.R. Grace and Co.
- II. MATERIAL (TEST COMPOUND): GENETICS ASSAY NUMBER: 5918
 - A. Identification: 11664-99-4
 - B. Date Received: September 24, 1981
 - C. Physical Description: Viscous, yellow liquid
- III. TYPE OF ASSAY: Ames Salmonella/microsome Mutagenesis Assay
- IV. PROTOCOL NUMBER: 401
- V. STUDY DATES:
 - A. Initiation: October 9, 1981
 - B. Completion: October 29, 1981
- VI. STUDY DIRECTOR: D.R. Jagannath, Ph.D.
- VII. RESULTS:

The results of this assay are presented in Tables 1 and 2.

VIII. INTERPRETATION OF RESULTS:

The test compound was examined for mutagenic activity in a series of in vitro microbial assays employing Salmonella indicator organisms. The compound was tested directly and in the presence of liver microsomal enzyme preparations from Aroclor-induced rats.

A negative control consisting of the solvent used for preparing the stock solutions and subsequent dilutions of the test material and specific positive compounds were also assayed concurrently with the test material. The negative control data was used as the base for evaluating the results obtained with the test material.

DOSE RANGE

A preliminary toxicity study conducted on the test material at 14 doses of 1.22 μ g to 10,000.0 μ g per plate using the strain TA-100, exhibited approximately 99% toxicity at 10,000.0 μ g dose (Table 1). As such, the mutagenicity assays were conducted at 8 doses of 1.0 μ g to 10,000.0 μ g per plate.



VIII. INTERPRETATION OF RESULTS: (continued)

The results of the tests conducted on the test material in the absence of a metabolic activation system were negative. The tests with TA-1538 and TA-100 were repeated because of the low number of revertants observed at various test doses in the initial assay. The repeat tests were negative.

The results of the tests conducted on the test material in the presence of a rat liver activation system were negative. The tests with TA-98 and TA-100 were repeated because, there were no revertants on the 2,500.0 µg plate with TA-98 and the number of revertants at various test doses were low for TA-100 in the initial assay. The repeat tests were negative.

IX. CONCLUSIONS:

The test material, 11664-99-4, did not exhibit genetic activity in any of the assays conducted in this evaluation and was considered not mutagenic under these test conditions according to our evaluation criteria.

Submitted by:

Study Director

D.R. Jagannathan
D.R. Jagannathan, Ph.D.
Section Chief
Submammalian Genetics
Department of
Molecular Toxicology

11/14/81
Date

Reviewed by:

David J. Brusick
David J. Brusick, Ph.D.
Director
Department of
Molecular Toxicology

11/14/81
Date



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TABLE 1
TOXICITY TEST WITH TA-100

SPONSOR: W.R. Grace and Co.
 COMPOUND CODE: 11664-99-4
 ASSAY NO.: 5918
 SOLVENT: DMSO
 DATE INITIATED: 10/9/81 DATE COMPLETED: 10/12/81

TEST COMPOUND UG/PLATE	NUMBER OF COLONIES/PLATE	% SURVIVAL RELATIVE TO CONTROL
0 (control)*	121.0**	100.00
1.22	138.0	114.05
2.44	142.0	117.36
4.88	142.0	117.36
9.77	107.0	88.43
19.53	133.0	109.92
39.06	143.0	118.18
78.13	127.0	104.96
156.25	115.0	95.04
312.50	141.0	116.53
625.00	138.0	114.05
1250.00	139.0	114.88
2500.00	37.0	30.58
5000.00	5.0	4.13
10,000.00	1.0	0.83

* Solvent Control (100 μ l/plate)

** Average of two plates.



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RESULTS

TABLE 2

A. NAME OR CODE DESIGNATION OF THE TEST COMPOUND: 11664-99-4
 B. SOLVENT: DMSO
 C. TEST INITIATION DATES: 10/20/81 10/27/81
 D. TEST COMPLETION DATE: 10/29/81
 E. S-9 LOT#: RFF05U
 NGTE: CONCENTRATIONS ARE GIVEN IN MICROGRAMS PER PLATE

TEST	SPECIES	TISSUE	REVERTANTS PER PLATE														
			TA-1535			TA-1537			TA-1538			TA-98			TA-100		
			1	2	3	1	2	3	1	2	3	1	2	3	1	2	3
NONACTIVATION																	
SOLVENT CONTROL	---	---	22			13			14	10		20			107	114	
SOLVENT CONTROL	---	---	26			9			7	15		22			104	114	
POSITIVE CONTROL**	---	---	729			201			1447	1525		924			1093	1374	
POSITIVE CONTROL**	---	---	640			239			1265	1380		752			1187	1441	
TEST COMPOUND																	
1.000000 UG	---	---	24			11			5	13		20			72	105	
10.000000 UG	---	---	19			8			11	12		21			95	126	
100.000000 UG	---	---	22			10			9	18		19			82	106	
500.000000 UG	---	---	21			4			11	11		21			96	123	
1000.000000 UG	---	---	26			12			5	12		17			97	119	
2500.000000 UG	---	---	25			12			8	10		23			15	112	
5000.000000 UG	---	---	26			16			9	12		14			7	129	
10000.000000 UG	---	---	19			8			5	6		18			12	170	

ACTIVATION

SOLVENT CONTROL	RAT	LIVER	25			10			15			46	25		84	93	
SOLVENT CONTROL	RAT	LIVER	10			11			17			32	23		89	95	
POSITIVE CONTROL***	RAT	LIVER	336			289			1700			1885	862		2098	2483	
POSITIVE CONTROL***	RAT	LIVER	205			255			1716			1961	824		1986	2488	
TEST COMPOUND																	
1.000000 UG	RAT	LIVER	17			6			21			33	37		65	95	
10.000000 UG	RAT	LIVER	16			11			19			51	36		82	100	
100.000000 UG	RAT	LIVER	15			11			21			42	37		93	113	
500.000000 UG	RAT	LIVER	20			14			10			44	42		118	132	
1000.000000 UG	RAT	LIVER	12			17			21			46	43		139	105	
2500.000000 UG	RAT	LIVER	11			11			14			0	28		54	132	
5000.000000 UG	RAT	LIVER	15			11			16			30	32		80	89	
10000.000000 UG	RAT	LIVER	14			9			22			30	15		90	100	

** TA-1535 SODIUM AZIDE 10 UG/PLATE
 TA-1537 9-AMINOACRIDINE 50 UG/PLATE
 TA-1538 2-NITROFLUORENE 10 UG/PLATE
 TA-98 2-NITROFLUORENE 10 UG/PLATE
 TA-100 SODIUM AZIDE 10 UG/PLATE
 SOLVENT 100 UL/PLATE

*** TA-1535 2-ANTHRAPINE 2.5 UG/PLATE
 TA-1537 2-ANTHRAPINE 2.5 UG/PLATE
 TA-1538 2-ANTHRAPINE 2.5 UG/PLATE
 TA-98 2-ANTHRAPINE 2.5 UG/PLATE
 TA-100 2-ANTHRAPINE 2.5 UG/PLATE

AMES SALMONELLA/MICROSOME PLATE ASSAY

1. OBJECTIVE

The objective of this study is to evaluate a test material for mutagenic activity in a bacterial assay with and without a mammalian S9 activation system.

2. RATIONALE

The *Salmonella typhimurium* strains used at LBI are all histidine auxotrophs by virtue of mutations in the histidine operon. When these histidine-dependent cells are grown in a minimal media petri plate containing a trace of histidine, only those cells that revert to histidine independence (*his*⁺) are able to form colonies. The trace amount of histidine allows all the plated bacteria to undergo a few divisions; this growth is essential for mutagenesis to occur. The *his*⁺ revertants are easily scored as colonies against the slight background growth. The spontaneous mutation frequency of each strain is relatively constant, but when a mutagen is added to the agar the mutation frequency is increased 2- to 100-fold. Cells which grow to form colonies on the minimal media petri plates are therefore assumed to have reverted, either spontaneously or by the action of a test substance to *his*⁺ genotype.

3. MATERIALS

A. Indicator Microorganism

The *Salmonella typhimurium* strains used in this assay were obtained from Dr. Bruce Ames, University of California at Berkeley.¹⁻⁵ The following five strains are routinely used:

Strain Designation	Gene Affected	Additional Mutations			Mutation Type Detected
		Repair	LPS	R Factor	
TA-1535	<i>his</i> G	Δ <i>uvr</i> B	<i>rfa</i>	-	Base-pair substitution
TA-1537	<i>his</i> C	Δ <i>uvr</i> B	<i>rfa</i>	-	Frameshift
TA-1538	<i>his</i> D	Δ <i>uvr</i> B	<i>rfa</i>	-	Frameshift
TA-98	<i>his</i> D	Δ <i>uvr</i> B	<i>rfa</i>	pKM101	Frameshift
TA-100	<i>his</i> G	Δ <i>uvr</i> B	<i>rfa</i>	pKM101	Base-pair substitution



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3. MATERIALS (Continued)

The aforementioned strains have, in addition to the mutation in the histidine operon, a mutation (rfa-) that leads to defective lipopolysaccharide coat, a deletion that covers genes involved in the synthesis of vitamin biotin (bio-) and in the repair of ultraviolet (uv) - induced DNA damage (uvrB-). The rfa- mutation makes the strains more permeable to many large molecules. The uvrB-mutation decreased repair of some types of chemically or physically damaged DNA and thereby enhances the strain's sensitivity to some mutagenic agents. The resistant transfer factor plasmid (R factor) pKM101 in TA-98 and TA-100 is believed to cause an increase in error-prone DNA repair that leads to many more mutations for a given dose of most mutagens⁵. In addition, plasmid pKM101 confers resistance to the antibiotic ampicillin, which is a convenient marker to detect the presence of plasmid in the cells.

All indicator strains are kept at 4°C on minimal medium plates supplemented with a trace of biotin and an excess of histidine. The plates with plasmid-carrying strains contain in addition ampicillin (25 µg/ml) to ensure stable maintenance of plasmid pKM101. New stock culture plates are made as often as necessary from frozen master cultures or from single colony reisolates that were checked for their genotypic characteristics (his, rfa, uvrB, bio) and for the presence of plasmid. For each experiment, an inoculum from the stock culture plates is grown overnight at 37°C in nutrient broth (Oxoid CM67).

B. Media

The bacterial strains were cultured in Oxoid Media #2 (nutrient broth). The selective medium was Vogel Bonner Medium E with 2% glucose⁷. The overlay agar consisted of 0.6% purified agar with 0.5 mM histidine, 0.05 mM biotin and 0.1M NaCl according to the methods of Ames et al.⁶.

C. Activation System

(1) S9 Homogenate

A 9,000 x g supernatant prepared from Sprague-Dawley adult male rat liver induced by Aroclor 1254 (described by Ames et al.⁶) was purchased from Bionetics Laboratory Products, Litton Bionetics, Inc. and used in this assay.



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3. MATERIALS (Continued)

(2) S9 Mix

Components	Concentration Milliliter S9 Mix
NADP (sodium salt)	4 μ moles
D-glucose-6-phosphate	5 μ moles
MgCl ₂	8 μ moles
KCl	33 μ moles
Sodium phosphate buffer pH 7.4	100 μ moles
Organ homogenate from rat liver (S9 fraction)	100 μ liters

4. EXPERIMENTAL DESIGN

A. Dosage Selection

Doses used in the mutagenicity assays were selected from a preliminary toxicity test performed on the strain TA-100. For preliminary toxicity test, 14 doses from 1.0 μ g to 10,000 μ g per plate for solids and 10 doses from 0.01 μ l to 150 μ l per plate for liquids were used. In the mutagenicity assays, at least six doses were used with the highest dose exhibiting a 50% toxicity. If the test material is not toxic, 8 doses of 1.0, 10, 100, 500, 1000, 2500, 5000 and 10,000 μ g per plate for solids and 0.1, 1, 5, 10, 25, 50, 100 and 150 μ l per plate for liquids are used.

If the sponsor specifies doses, no toxicity testing will be performed and the tests are run using the specified doses.

B. Toxicity Studies

To a sterile test tube containing 2.0 ml of overlay agar (placed in a 43°-45°C water bath) the following is added:

- 0.1 ml to 0.2 ml of a solution of the test material to give the appropriate dose.
- 0.2 ml of 10⁻⁶ dilution of overnight culture.
- 0.5 ml of 0.2M phosphate buffer, pH 7.4.

This mixture is swirled gently and then poured on to nutrient agar plates. After the overlay agar was set, the plates are incubated at 37°C for approximately 24 hours. The number of colonies growing on the plates counted and recorded.



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4. EXPERIMENTAL DESIGN (Continued)

C. Mutagenicity Testing

The procedure used is based on the paper published by Ames et al.⁶ and is performed as follows:

(1) Nonactivation Assay

To a sterile 13 x 100 mm test tube placed in a 43°C water bath the following is added in order:

- (a) 2.00 ml of 0.6% agar containing 0.05 mM histidine and 0.05 mM biotin.
- (b) 0.05 ml of a solution of the test chemical to give the appropriate dose.
- (c) 0.1 ml - 0.2 ml of indicator organism(s).
- (d) 0.50 ml of 0.2M phosphate buffer, pH 7.4.

This mixture is swirled gently and then poured onto minimal agar plates (see 3B, Media). After the top agar has set, the plates are incubated at 37°C for approximately 2 days. The number of his⁺ revertant colonies growing on the plates is counted and recorded.

(2) Activation Assay

The activation assay is run concurrently with the nonactivation assay. The only difference is the addition of 0.5 ml of S9 mix (see 3C:2, Activation System) to the tubes in place of 0.5 ml of phosphate buffer which is added in nonactivation assays. All other details are similar to the procedure for nonactivation assays.

A detailed flow diagram for the plate incorporation assay is provided in Figure 1.

D. Control Compounds

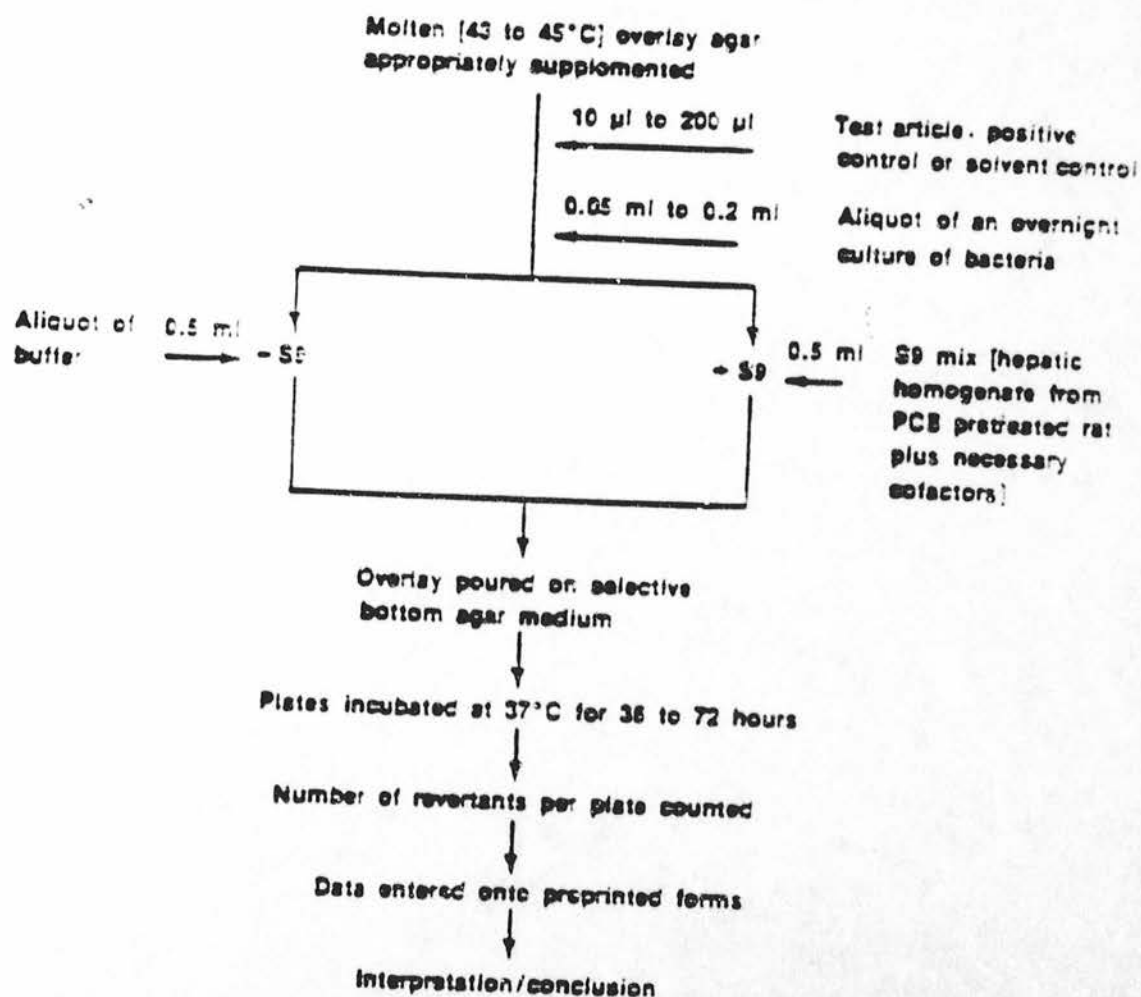
A negative control consisting of the solvent used for the test material is also assayed concurrently with the test material. For negative controls, step 'b' of Nonactivation Assays is replaced by 0.05 ml of the solvent. The negative controls are employed for each indicator strain and are performed in the absence and presence of S9 mix. The solvent used to prepare the stock solution of the test material is given in the Results section of this report. All dilutions of the test material are made using this solvent. The amount of solvent used is equal to the maximum volume used to give appropriate test dose.

Specific positive control compounds known to revert each strain are also used and assayed concurrently with the test material. The concentrations and specificities of these compounds are given in the following table:



FIGURE 1

REVERSE MUTATION ASSAY
[Agar Incorporation Method]



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4. EXPERIMENTAL DESIGN (Continued)

Assay	Chemical	Solvent	Concentration per plate (μ g)	<u>Salmonella</u> <u>Strains</u>
Nonactivation	Sodium azide	Water	10.0	TA-1535, TA-100
	2-Nitrofluorene (NF)	Dimethyl- sulfoxide	10.0	TA-1538, TA-98
	9-aminoacridine (9AA)	Ethanol	50.0	TA-1537
Activation	2-anthramine (ANTH)	Dimethyl- sulfoxide	2.5	For all strains

5. EVALUATION CRITERIA

Statistical methods are not currently used, and evaluation is based on the criteria included in this protocol.

Plate test data consist of direct revertant colony counts obtained from a set of selective agar plates seeded with populations of mutant cells suspended in a semisolid overlay. Because the test material and the cells are incubated in the overlay for approximately 2 days and a few cell divisions occur during the incubation period, the test is semiquantitative in nature. Although these features of the assay reduce the quantitation of result, they provide certain advantages not contained in a quantitative suspension test:

- The small number of cell divisions permits potential mutagens to act in replicating DNA, which is often more sensitive than nonreplicating DNA.
- The combined incubation of the test material and the cells in the overlay permits constant exposure of the indicator cells for approximately 2 days.

C. Evaluation Criteria for Ames Assay

Because the procedures used to evaluate the mutagenicity of the test material are semiquantitative, the criteria used to determine positive effects are inherently subjective and are based primarily on a historical data base. Most data sets are evaluated using the following criteria:

(1) Strains TA-1535, TA-1537 and TA-1538

If the solvent control value is within the normal range, a test material producing a positive response equal to three times the solvent control value is considered mutagenic.



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5. EVALUATION CRITERIA (Continued)

(2) Strains TA-98 and TA-100

If the solvent control value is within the normal range, a test material producing a positive response equal to twice the solvent control value for TA-98 and TA-100 is considered mutagenic.

The following normal range of revertants for solvent controls are generally considered acceptable:

TA-1535:	8-30
TA-1537:	4-30
TA-1538:	10-35
TA-98 :	20-75
TA-100 :	80-250

(3) Pattern

Because TA-1535 and TA-100 are both derived from the same parental strain (G-46) and because TA-1538 and TA-98 are both derived from the same parental strain (D3052), to some extent there is a built-in redundancy in the microbial assay. In general, the two strains of a set respond to the same mutagen and such a pattern is sought. Generally, if a strain responds to a mutagen in nonactivation tests, it will do so in activation tests. Occasionally, exception to this pattern may also be seen.

B. Dose-Response Phenomena

The demonstration of dose-related increases in mutant counts is an important criterion in establishing mutagenicity. Since, we employ several doses in the actual assay, a dose response would normally be seen with a mutagenic test material. Additional tests may be performed at narrower dose, if the mutagenic test material fails to exhibit a dose-response in the initial assay. However, occasionally it is difficult to generate a dose-response and the test material will be evaluated based on the available data.

C. Reproducibility

If a test material produces a response in a single test which cannot be reproduced in additional runs, the initial positive test data lose significance.

D. Control Tests

Positive and negative control assays are conducted with each experiment and consist of direct-acting mutagens for nonactivation assays and mutagens requiring metabolic biotransformation in activation assays. Negative controls consist of the test material solvent in the overlay agar together with the other essential components. The negative control plate for each



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5. EVALUATION CRITERIA (Continued)

strain gives a reference point to which the test data is compared. The positive control assay is conducted to demonstrate that the test systems are functional with known mutagens.

E. Relation Between Mutagenicity and Carcinogenicity

It must be emphasized that the Ames Salmonella/Microsome Plate Assay is not a definitive test for chemical carcinogens. It is recognized, however, that correlative and functional relations have been demonstrated between these two endpoints. The results of comparative test on 300 chemicals by McCann et al.¹ show an extremely good correlation between results of microbial mutagenesis tests and in vivo rodent carcinogenesis assays.

All evaluations and interpretation of the data to be presented in the final report will be based only on the demonstration, or lack, of mutagenic activity.



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REFERENCES

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2. Ames, B.N., Gurney, E.G., Miller, J.A. and Bartsch, H.: Carcinogens as frameshift mutagens: Metabolites and derivatives of 2-acetylaminofluorene and other aromatic amine carcinogens. Proc. Nat. Acad. Sci., USA, 69:3128-3132, 1972.
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Q.A. Inspection Statement
(reference 21 CFR 58.35(b)(7))

PROJECT 20988

LBI Assay No. 5918

TYPE of STUDY Ames Plate Test

This final study report was reviewed by the LBI Quality Assurance Unit on 11.18.81. A report of findings was submitted to the Study Director and to Management on 11.19.81.

The short-term nature of this study precluded inspection while it was in process. The Quality Assurance Unit inspects an in-process study of this type approximately once per month to assure that no significant problems exist that are likely to affect the integrity of this type of study.

W. H. T. T. T.
Auditor, Quality Assurance Unit



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CERTIFICATE OF AUTHENTICITY

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